



SAP Ariba 

# Feature at a Glance

## Configurable levels for control effectiveness

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Target GA: May, 2021

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## Feature at a Glance

# Introducing: Configurable levels for control effectiveness

### Customer challenge

Controls triggered in the current due diligence process can be deemed effective or ineffective. Due to the binary nature of this decision, control reviewers are unable to define the degree to which a control may be effective or ineffective.

Moreover, granular information about the effectiveness of a control provides better judgement and decision-making abilities when it comes to risk mitigation, for example the types of action(s) to be taken against a 100% ineffective control will be different than the action(s) required to manage an 80% effective control. This type of information is not generated in the system today.

### Solution area

SAP Ariba Supplier Risk

### Meet that challenge with

#### SAP Ariba

This feature introduces a five-level range of values that control effectiveness decisions. The extended range of values allows decision makers to express a more nuanced understanding of a supplier's risk mitigation strategies, and to design appropriate actions based on the degree of effectiveness.

### Implementation information

This feature is automatically on for all customers with the applicable solutions but requires **customer configuration**.

Note: This feature is optional with the 2105 release. SAP Ariba plans to turn it Automatically On for all customers with the 2202 release.

### Experience key benefits

5-point scale for Control Effectiveness providing for more nuanced risk assessment. Migration concerns addressed, including:

- Migrating existing decisions from legacy 2-pt to new 5-pt scale
- Keeping parity with issue requirements based on CE selection
  - Updating CE decisions on UI and reports
  - Enabling CE status import for 5-point scale

### Prerequisites and Restrictions

- Your site must be configured for use of control-based engagement risk assessment projects.
- To set an effectiveness level for a control or service, you must be specified as a decision maker for the control in the control definition master data in your site.

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#### Enablement Information

To enable this feature, a customer administrator must enable two parameters in Intelligent Configuration Manager:

- **Expanded levels of risk control effectiveness**

Application.SR.Engagement.ExpandedLevelsOfRiskControlEffectiveness)

Caution: SAP strongly recommends that you NOT disable this parameter within a site after enabling it, because of the user interface and engagement workflow processing behavior differences between the two systems.

- **Enable control review workflow**

Application.SR.Engagement.EnableControlReviewWorkflow)

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### New supplier risk administration page

Supplier risk administration

- Reports
- Configure risk exposure
- Import data
- Configure alerts
- Customize supplier alerts
- Engagement processing error report
- Content and service providers
- Adverse media feedback center
- Preparation for template upgrade
- Manage upgrades
- Configure periodic reviews
- Control effectiveness options** 1

### Control effectiveness options

If your site allows skipping a control review, you can define up to 10 reasons. Here you can also start a background process to migrate existing control review decisions from the 2-level to the 5-level system.

Levels 2

- Completely effective
- Substantially effective
- Partially effective
- Substantially ineffective
- Completely ineffective

Skip control review **Reasons** Edit

- 1 Control not applicable
- 2 Policy exception
- 3 Risk accepted
- 4 Risk dispensed

Migrate control review decisions from 2 to 5 levels [Learn more](#) 3

1. New page: Supplier risk administration > **Control effectiveness options**
2. List of risk control effectiveness options available – these are standard, out of the box, and non-configurable
3. One-time migration process to bring all risk controls currently reviewed & completed from:
  - Effective -> Completely effective
  - Ineffective -> Completely ineffective

\*\*Note SAP recommends as a best practice that you perform this migration as soon as possible after enabling the feature to avoid user confusion caused by older review decisions showing the two-level effectiveness values, while decision makers see five levels of choices for new control reviews.

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## Introducing: **Configurable levels for control effectiveness**

Set effectiveness level on pending controls – engagement and vendor controls

The image displays a sequence of three screenshots from the SAP SE interface, illustrating the process of setting effectiveness levels for a control. The screenshots are annotated with numbered callouts (1-5) indicating key steps.

**Step 1:** The first screenshot shows a control card for 'Critical data element' with a status of 'Pending'. An 'Action' dropdown menu is open, highlighting the 'Set effectiveness level' option.

**Step 2:** The second screenshot is a modal window titled 'Set effectiveness level'. It shows four radio button options: 'Completely effective', 'Substantially effective', 'Partially effective', and 'Substantially ineffective' (which is selected).

**Step 3:** The third screenshot shows the 'Expires on\*' field set to 'Apr 15, 2020' and a 'Comment\*' field containing 'Substantially ineffective control'.

**Step 4:** The fourth screenshot shows the 'Create issue' button highlighted in the modal window.

**Step 5:** The fifth screenshot shows the control card after the changes, with the status updated to 'Substantially ineffective' and the 'Expires on' date set to 'Apr 7, 2022'. Below the card, an 'Assessments' section shows a list item: 'Capacity Management Policy' with an 'Expires on: Apr 13, 2020'.

1. New action item on controls ready to be reviewed: **Set effectiveness level**
2. Choose from list of effectiveness levels
3. Specify control effectiveness expiration date
4. Option to create an issue
5. Effectiveness level of a reviewed control

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## Introducing: **Configurable levels for control effectiveness**

Set effectiveness level on pending controls – service controls

ITDR check Singapore Pending

Control ID: ITDR\_check\_Singapore Control type: Service Supplier: A. C. NIELSEN DE COLOMBIA LTDA... Action

Review decision Pending	Decision maker DecisionMaker	Regulator mandate Yes	Expires on <span>i</span> Mar 31, 2021
Control owner ITDR team	Risk type test		

Services

Service ID	Service name	Modified at	Issues	Engagement	Review decision	History
43232305	Data base reporting software	Mar 8, 2021	No Issues	Multiple [2]	Pending	<span>View history</span> <span>Action</span>
43232307	Data mining software	Mar 8, 2021	No Issues	Multiple [2]	Pending	<span>Set effectiveness level</span> <span>Create issue</span>

Assessments

> Call Tree Expires on: Jan 13, 2021

1. New action item on services in controls ready to be reviewed: **Set effectiveness level**
2. Set effectiveness level pop-up

**Set effectiveness level** ✕

ITDR check Singapore (Control ID: ITDR\_check\_Singapore) Service ID: 43232305 (Service name: Data base reporting software)

Set effectiveness level\*

Completely effective

Substantially effective

Partially effective

Substantially ineffective

Completely ineffective

Comment\*

Substantially effective control

993 Characters Remaining

You can use

**Create issue** to document your concerns, and return here to complete your effectiveness decision

**Confirm** to mark the control Ineffective/Effective without a new issue

**Cancel** to return to the control without doing either

Create issue Confirm Cancel

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