



SAP Ariba 

Feature at a Glance

Check for issues before marking a control as ineffective

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CONFIDENTIAL

Feature at a Glance

Ease of implementation  High-touch
Geographic relevance  Global

Introducing: Check for issues before marking a control as ineffective

Customer challenge

Since control-level issues are shared between all engagement risk assessment projects where the control is required, they provide important background to control decision makers who are reevaluating the control for different engagements. As such, control reviewers should be reminded - or even required – to create an issue before the control can be reviewed as ineffective.

Meet that challenge with SAP Ariba

This feature introduces a check for associated issue management projects every time a control decision maker marks a control as ineffective.

When a control decision maker marks a control as ineffective either during the initial control review or when re-reviewing the control and changing the status, the system checks to see if the control already has an associated issue. If it does not, a popup prompts the decision maker to create one. By default, creating an issue is optional and the decision maker can continue marking the control as ineffective without creating an issue. An self-service site configuration parameter allows enforcement of policy to require an issue before marking control ineffective.

Experience key benefits

Control-level issue management projects are designed to capture the analysis and resolution that result in an ineffective decision in a standardized way, to assign related tasks to the appropriate people, and to store documents, comments, activities, and approvals.

Supports option to require an issue prior to marking a control ineffective.

Solution area

SAP Ariba Supplier Risk

Implementation information

To have this feature enabled, please have your Designated Support Contact (DSC) submit a **Service Request (SR)**.

Prerequisites and Restrictions

When the feature is enabled, the issue check generates a reminder pop-up by default. To enforce the requirement for each ineffective control to have an associated issue, a customer administrator must enable the self-service configuration:
parameter Application.SR.Engagement.RequireIssueForIneffectiveControlDecision.

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Detailed feature information

Active control review tasks can be marked effective or ineffective by the decision maker defined in the risk control definition master data file. Completed control review tasks can be re-review by decision makers. With the “Check for issues before marking a control as ineffective” feature toggled on, the parameter [Application.SR.Engagement.RequireIssueForIneffectiveControlDecision] will control the pop-up message received by the decision maker and required steps – either the option to create an issue or the requirement to create an issue before the control can be marked ineffective.

The screenshot displays the SAP Risk Control Review interface. It features two main sections: 'Tasks' and 'Risk controls'. The 'Tasks' section shows a list of pending tasks, including 'Critical data element Control Review', 'ITDR check global Control Review', and 'ITDR check Singapore Control Review'. The 'Risk controls' section shows a list of controls, including 'Critical data element', 'ITDR check global', and 'ITDR check Singapore'. A 'Review' button is visible next to the 'ITDR check Singapore' control. Two pop-up dialogs are shown: one for 'Control review' with buttons for 'Create issue', 'Ineffective', and 'Effective'; and another for 'Services' with buttons for 'Ineffective', 'Effective', and 'Create issue'. A text box explains that active control review tasks open the control review UI, and that vendor- and engagement-type controls (above) or service-type controls (below) with reviews for each service will show two pop-ups when the feature is toggled on.

Active control review task opens the control review UI. Vendor- and engagement-type controls (above) or service-type controls (below) with reviews for each service. With feature toggle on, one of two pop-ups appear.

Engagement ID	Risk control	Control type	Control owner	Risk type	Regulator mandate	Assessment	Assignee	Completed date	Status
WS4055101	Critical data element	Vendor	Critical Data Team	test	false	Capacity Management Policy	DecisionMaker		Pending
WS4055101	ITDR check global	Service	ITDR team	test	false	BCM Training	Project Owner		
WS4055101	ITDR check Singapore	Service	ITDR team	test	true	Call Tree	Project Owner		

Service ID	Service name	Status	Modified at
43232307	Data mining software	Effective	Aug 26, 2019

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Detailed feature information

Mark Control as Ineffective

Your organization may use issues to determine that a control is ineffective. Before marking this control as ineffective, do you want to create an issue?

Control name Control description

Critical data element

Application.SR.Engagement.RequireIssueForIneffectiveControlDecision:
OFF

If a control or service + control is marked ineffective by the decision maker and no issue exists for this control, the user will be presented with the option to create an issue directly, ignore the suggestion and proceed with the ineffective determination, or cancel and leave the decision pending.

Mark Control as Ineffective

Your organization requires raising an issue for every ineffective control decision. Before marking this control as ineffective, create an issue.

Control owner Decision maker

Application.SR.Engagement.RequireIssueForIneffectiveControlDecision:
ON

If a control or service + control is marked ineffective by the decision maker and no issue exists for this control, the user will be presented with the option to create an issue directly or cancel and leave the decision pending. The user will be unable to persist the “ineffective” decision until an issue is created.

The same warnings will appear when a decision maker seeks to change an effective control review decision to ineffective Based on the parameter setting for the realm